



# Rule 606 (formerly known as Rule 11Ac1-6) Report: Exchange-Listed Options/Stocks

## REPORT FOR QUARTER 1, 2018

### LISTED OPTIONS

Non-Directed Contracts as a Percentage of Total Customer Options: 6.15 %

#### Percentage of Non-Directed Customer Options That Were:

Market	Limit	Other
0.00 %	0.00 %	100.00 %

#### Non-Directed Options as a Percentage of Total by Venue:

Exchange	%Non-Directed Orders	%Market Orders	%LimitOrders	%Other Orders
C2OX	38.61	0.00	0.00	100.00
PEARL	10.83	0.00	0.00	100.00
MIAX	8.84	0.00	0.00	100.00
PHLX	8.05	0.00	0.00	100.00
CBOE	7.28	0.00	0.00	100.00
BATS	6.98	0.00	0.00	100.00
NASDAQ	4.54	0.00	0.00	100.00
ISE	3.26	0.00	0.00	100.00
ARCA	3.16	0.00	0.00	100.00
AMEX	2.91	0.00	0.00	100.00
GMNI	2.85	0.00	0.00	100.00
EDGX	0.91	0.00	0.00	100.00
NSDQBX	0.61	0.00	0.00	100.00
MCRY	0.61	0.00	0.00	100.00
BOX	0.58	0.00	0.00	100.00

### NMS STOCKS

Non-Directed Stocks as a Percentage of Total Customer Stocks: 4.40 %

#### Percentage of Non-Directed Customer Stocks That Were:

Market	Limit	Other
0.00 %	0.00 %	100.00 %

#### Non-Directed Stocks as a Percentage of Total by Venue:

##### Amex Securities

Exchange	%Non-Directed Orders	%Market Orders	%LimitOrders	%Other Orders
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Nasdaq	85.28	0.00	0.00	100.00
BATS	5.07	0.00	0.00	100.00
Citadel	3.93	0.00	0.00	100.00
NITE	2.70	0.00	0.00	100.00
Arca	1.63	0.00	0.00	100.00
NasdaqBx	0.66	0.00	0.00	100.00
EdgeX	0.42	0.00	0.00	100.00
GoldmanSachs	0.20	0.00	0.00	100.00
UBS	0.09	0.00	0.00	100.00
CreditSuisse	0.01	0.00	0.00	100.00

### Arca Securities

Exchange	%Non-Directed Orders	%Market Orders	%LimitOrders	%Other Orders
Nasdaq	53.36	0.00	0.00	100.00
Citadel	17.83	0.00	0.00	100.00
NITE	13.44	0.00	0.00	100.00
Arca	9.13	0.00	0.00	100.00
BATS	4.16	0.00	0.00	100.00
GoldmanSachs	0.72	0.00	0.00	100.00
NasdaqBx	0.52	0.00	0.00	100.00
UBS	0.49	0.00	0.00	100.00
EdgeX	0.23	0.00	0.00	100.00
CreditSuisse	0.09	0.00	0.00	100.00

### Nasdaq Securities

Exchange	%Non-Directed Orders	%Market Orders	%LimitOrders	%Other Orders
Nasdaq	63.98	0.00	0.00	100.00
Citadel	14.22	0.00	0.00	100.00
NITE	10.58	0.00	0.00	100.00
BATS	5.64	0.00	0.00	100.00
NasdaqBx	1.94	0.00	0.00	100.00
GoldmanSachs	1.59	0.00	0.00	100.00
Arca	0.90	0.00	0.00	100.00
EdgeX	0.57	0.00	0.00	100.00
UBS	0.48	0.00	0.00	100.00
CreditSuisse	0.04	0.00	0.00	100.00

### NYSE Securities

Exchange	%Non-Directed Orders	%Market Orders	%LimitOrders	%Other Orders
Nasdaq	34.43	0.00	0.00	100.00
Nyse	19.93	0.00	0.00	100.00
Citadel	16.90	0.00	0.00	100.00
NITE	12.28	0.00	0.00	100.00
BATS	8.57	0.00	0.00	100.00
NasdaqBx	4.32	0.00	0.00	100.00
GoldmanSachs	1.70	0.00	0.00	100.00
Arca	0.98	0.00	0.00	100.00
UBS	0.53	0.00	0.00	100.00
EdgeX	0.29	0.00	0.00	100.00

#### Rule 606(a)(iii) disclosures:

Smart Order Routing orders are considered Not Held and are classified as "other" for the purposes of WEX's reporting pursuant to rule 606.

#### Affiliations:

WEX's parent company, Wolverine Trading, LLC ("Wolverine Trading"), is an electronic market maker and designated primary market maker on all U.S. options exchanges including: Nasdaq PHLX, NASDAQ Options Market, Nasdaq ISE, Nasdaq GEMX, Nasdaq BX Options, Nasdaq MRX, Chicago Board Options Exchange, BATS

BZX Options, BATS EDGX Options, Chicago Board Options Exchange 2, NYSE Arca Options, NYSE American Options, Miami Stock Exchange, MIAX Pearl, BOX Options and listed stocks on Arca, Bats and Nasdaq. Wolverine Securities, LLC, is a market maker in listed stocks on NASDAQ and EdgeX.

Note Regarding Payment for Order Flow Practices:

Although our clients normally select the destination for the execution of their options order, WEX has chosen to participate within the available Payment for Order Flow programs offered by various options exchanges pursuant to the mandatory marketing fee programs that have been adopted by such exchanges and approved by the Securities and Exchange Commission. As a participant in these programs, WEX may receive and/or make payments in varying amounts from the exchanges or other Broker Dealers.

Order Routing Information:

Clients may contact WEX for the identity of the venue to which such client's orders were routed for execution during the preceding six months. Please submit your request in writing by sending an email to [compliance@tradewex.com](mailto:compliance@tradewex.com).

Please note that these statistics have been prepared on a best efforts basis and the data has not been audited. Any decision about whether to trade through WEX or directing orders to the Firm should not be based solely on the information presented in this report, but on a broader evaluation of the full range of services and products we offer.